

Art/ 2122  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**



In re U.S. Patent Application of

ATO et al.

Application Number: 09/940,663

Filed: August 29, 2001

For: STORAGE MEDIA STORING DATA RELATED  
TO SMART CART, SMART CARD SYSTEM AND  
SMART CARD APPLICATION LOADING METHOD

Attorney Docket No. NITT.0031

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) Art Unit 2122  
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) Examiner Tang, Kuo Liang  
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Honorable Assistant Commissioner for Patents  
Washington, D.C. 20231

**LETTER**

Sir:

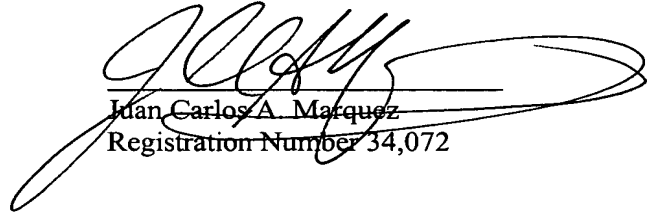
The below-identified communications are submitted in the above-captioned application or proceeding:

(x) Information Disclosure Statement w/refs

☒ The Commissioner is hereby authorized to charge payment of any fees associated with this communication, including fees under 37 C.F.R. § 1.16 and 1.17 or credit any overpayment to **Deposit Account Number 08-1480**. A duplicate copy of this sheet is attached.

Respectfully submitted,

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(703) 641-4200  
**OCTOBER 26, 2004**



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**Honorable Assistant Commissioner**  
**for Patents**  
**Washington, D.C. 20231**

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, this Information Disclosure Statement is submitted in the above-identified patent application. A listing of documents to be published on the face of any patent granted from this application is submitted herewith on Form PTO-1449. Any other documents or information submitted for consideration by the Examiner are listed in this paper. A copy of each U.S. and foreign patent, or each publication or portion thereof listed or herein identified, is submitted herewith.

**CERTIFICATION**

This Information Disclosure Statement is submitted after three months from the filing date of the above-identified U.S. patent application and after the mailing date of the first Office Action on the merits of the above-identified application, but prior to issuance of the earlier of any Final Action or Notice of Allowance sent in such application. The fee required under 37 C.F.R. 1.97(c) is submitted herewith.

The Examiner is requested to acknowledge consideration of the information provided in this paper in accordance with prescribed procedures.

10/27/2004 RFEKADU1 00000021 09940663

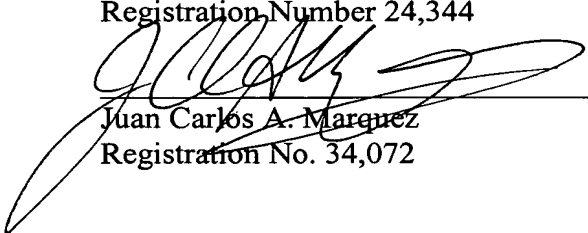
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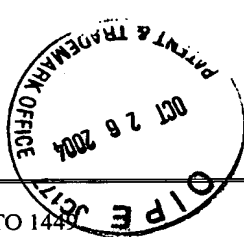
Please charge any additional fees or credit any overpayments in connection with this paper to Deposit Account No. 08-1480.

Respectfully submitted,

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**AUGUST 26, 2004**



Form PTO 1449  U.S. Department of commerce Patent and Trademark Office  Information Disclosure Statement by Applicant	ATTY. DOCKET NUMBER	SERIAL NUMBER
	NITT.0031	09/940,663
	APPLICANT	
	SATO	
	FILING DATE	GROUP
	09/940,663	

U.S. Patent Documents

Examiner Initial	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	5,569,897*	10/29/1996	Masuda			01/19/1995

\* Corresponds to Japanese Patent Application 7-210730

Foreign Patent Documents

Examiner Initial	DOCUMENT NUMBER	FILING DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
						YES	No
	01-147666	12/02/1987	Japan			Abstract	X
	2000-148928	11/06/1998	Japan			Abstract	X
	7-210730	01/26/1994	Japan			Abstract	X

Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
<i>Examiner: Initial if citation is considered, whether or not citation is in conformance with MPEP 609; draw a line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant</i>	

PTO 1449